

SOC 2 CERTIFICATION CHECKLIST



1

SELECT TRUST PRINCIPLE



2

WORK WITH THIRD-PARTY AUDITOR



3

CONDUCT SECURITY GAP ASSESSMENT



4

SYSTEM ORGANIZATION CONTROLS (SOC) AUDIT



5

MAINTAIN CERTIFICATION





SOC 2 CERTIFICATION

TRUST PRINCIPLES

1

SECURITY

- Data Encryption
- Multi-Factor Authentication (MFA)
- Constant Monitoring

2

AVAILABILITY

- Service Level Agreement (SLA) Alignment
- Ongoing Service Monitoring
- Cloud Backup & Disaster Recovery

3

PROCESS INTEGRITY

- Complete, Timely, & Accurate Data Logs
- Data Management Aligned with Organizational Objectives
- Round-the-Clock Monitoring

4

CONFIDENTIALITY

- Clearly Defined Access, Storage, & Transmission Policies
- Data Encryption
- Information Categorized by Sensitivity Level

5

PRIVACY

- Clients Have Control Over Use of Information
- Compliance with GDPR or CCPA (If Applicable)
- Compliance with Generally Accepted Privacy Principles (GAPP)



SOC 2 CERTIFICATION

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