SOC 2 CERTIFICAITON CHECKLIST



1 SELECT TRUST PRINCIPLE

2 WORK WITH THIRD-PARTY AUDITOR

3 CONDUCT SECURITY GAP ASSESSMENT

4 SYSTEM ORGANIZATION CONTROLS (SOC) AUDIT

5 MAINTAIN CERTIFICATION



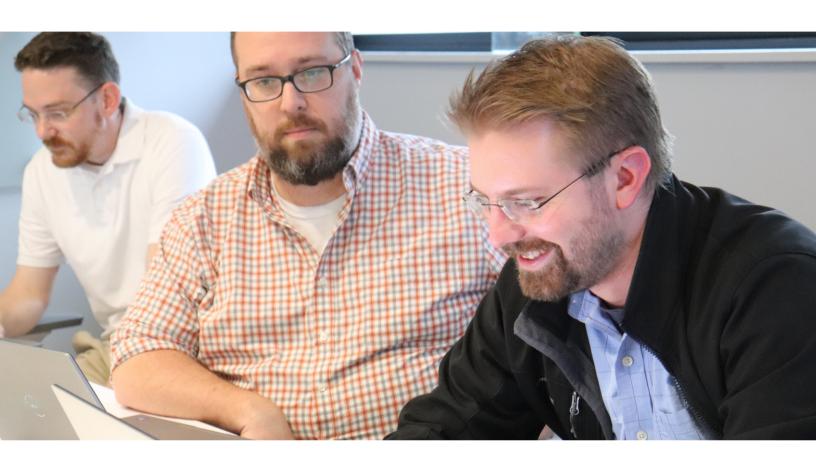
SOC 2 CERTIFICAITON TRUST PRINCIPLES

1	SECURITY
<u>:</u>	Data Encryption
	Multi-Factor Authentication (MFA)
•	Constant Monitoring
2	AVAILABILITY
<u>:</u>	Service Level Agreement (SLA) Alignment
	Ongoing Service Monitoring
	Cloud Backup & Disaster Recovery
3	PROCESS INTEGRITY
:	Complete, Timely, & Accurate Data Logs
	Data Management Aligned with Organizational Objectives
	Round-the-Clock Monitoring
4	CONFIDENTIALITY
<u>:</u>	Clearly Defined Access, Storage, & Transmission Policies
	Data Encryption
	Information Categorized by Sensitivity Level
5	PRIVACY
<u></u>	Clients Have Control Over Use of Information
	Compliance with GDPR or CCPA (If Applicable)
	Compliance with Generally Accepted Privacy Principles (GAPP)



SOC 2 CERTIFICATION

with **CHARLES IT**





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